This policy is applicable to all parents of children within the school and to parents of children who have left the school, where the complaint was made before the child left the school. This document is available in written format upon request and a copy can also be located on the school's website.

#### INTRODUCTION

The school has long prided itself on the quality of care provided to its children. However, if parents do have a complaint, they can expect it to be treated by the school in accordance with these procedures.

### STAGE 1 - INFORMAL RESOLUTION

It is hoped that most complaints and concerns will be resolved quickly and informally.

- If parents have a complaint they should normally contact their son/daughter's room leader. In many cases, the matter will be resolved straightaway by this means to the parents' satisfaction. If the room leader cannot resolve the matter alone, it may be necessary for him/her to consult Matron.
- Complaints made directly to Matron will usually be dealt with on the same day or as soon as is practicable.
- If deemed relevant, Matron will make a written record of all concerns and complaints and the date on which they were received. Should the matter not be resolved within 5 working days, or in the event that Matron and the parent fail to reach a satisfactory resolution, then parents will be advised to proceed with their complaint to Stage 2 of this procedure.

# **STAGE 2 – FORMAL RESOLUTION**

- If the complaint cannot be resolved on an informal basis, then the parents should put their complaint in writing to the Head. The Head will decide, after considering the complaint, the appropriate course of action to take.
- In most cases, the Head will speak to the parents concerned, normally within two days of receiving the complaint, to discuss the matter. If possible, a resolution will be reached at this stage.
- It may be necessary for the Head to carry out further investigations. These will be carried out within 7 days, or as soon as is practicable.
- The Head will keep written records of all meetings and interviews held in relation to the complaint. These records will remain on the child's file, which is kept in a confidential and secure location.
- When the Head is satisfied that, so far as is practicable, all of the relevant facts have been established, a decision will be made and parents will be informed of this decision in writing. The Head will also provide reasons for his/her decision.
- The written decision will be issued within 14 days of receiving the complaint. If for any reason, this is not possible, the Head will write to the parents within the 14 day period referred to above, stating the reason(s) why he/she is unable to issue the decision and informing the parents when he/she will be able to do so, which will be within 28 days of receipt of the complaint, in any event.

• If parents are still not satisfied with the decision, they should proceed to Stage 3 of this Procedure.

### STAGE 3 - PANEL HEARING

- If parents seek to invoke Stage 3 (following a failure to reach an earlier resolution), they will be referred to the Chair of Governors, who has been appointed by the Governors to call hearings of the Complaints Panel on behalf of the Governing Body.
- The matter will then be referred to the Complaints Panel for consideration. The Panel will consist of at least three persons who are not directly involved in the matters detailed in the complaint, one of whom shall be independent of the management and running of the school. Each of the Panel members shall be appointed by the Proprietor. The Chair of Governors, on behalf of the Panel, will then acknowledge the complaint and schedule a hearing to take place as soon as practicable and normally within 14 days.
- If the Panel deems it necessary, it may require that further particulars of the complaint or any related matter be supplied in advance of the hearing. Copies of such particulars shall be supplied to all parties not later than 5 days prior to the Panel Hearing.
- The parents may be accompanied to the hearing by one other person. This may be a relative, teacher or friend. Legal representation will not normally be appropriate.
- If possible, the Panel will resolve the parents' complaint immediately without the need for further investigation.
- Where further investigation is required, the Panel will decide how it should be carried out.
   After due consideration of all facts they consider relevant, the Panel will reach a decision
   and may make recommendations, which it shall complete within 28 days of the Panel
   Hearing. The Panel will write to the parents informing them of its decision and provide
   the reasons for the decision.
- The Panel will ensure a copy of those findings and recommendations are:
  - 1. Provided to the complainant and, where relevant, the person who is the subject of the complaint
  - 2. Available for inspection on the school premises by the Proprietor and/or the Head.

The school will keep a written record of all complaints and record whether they are resolved at the preliminary stage or proceed to a Panel Hearing. The school will also keep a written record of action taken by the school as a result of those complaints (regardless of whether they are upheld).

Parents can be assured that all concerns and complaints will be taken seriously. Correspondence, statements and records will be kept confidential except in so far as is required to meet legal obligations.

Records of complaints will be kept for at least three years.

## **Notes to the Complaints Procedure**

- In the event that a complaint involves or relates to a member of staff, then that member of staff will be kept fully informed in writing of the procedure being adopted in relation to the management of the complaint and supplied with copies of all documentation.
- In the event of a Panel Hearing, the staff member will have the right to make representations to the Panel.

### **COMPLAINTS TO OFSTED**

Written complaints about the fulfillment of EYFS requirements must be investigated and the complainant notified of the outcome of the investigation within 28 days of receiving the complaint. Parents of children in the EYFS may complain to Ofsted and only then if the complaint is about the fulfillment of the EYFS requirements.

### **RECORDS OF COMPLAINTS**

The school will provide Ofsted, upon request, a written record of all complaints made during any specified period and what actions were taken as a result of the complaint.

# **Details for contacting Ofsted are as follows:**

Ofsted
Piccadilly Gate
Store Street
Manchester
M1 2WD

www.ofsted.gov.uk/contact-us

General Helpline: 0300 123 1231

## Details for contacting the Chair of Governors are as follows:

Mr David Pye
Chair of Governors
Walton Pre-Preparatory School and Nursery
The Old Rectory
Walton Drive
Milton Keynes
MK7 6BB

Telephone: 01908 678391

The Complaints and Procedures Policy has been reviewed on 31 August 2018. It will be reviewed, on or before 31 August 2019.

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# **Appendix 1: Complaints Procedure – Independent Member of the Panel**

The DfE has supplied the following guidance on the identity of an Independent Panel Member:

Our general view is that people who have held a position of responsibility and are used to analysing evidence and putting forward balanced arguments would be suitable. Examples of persons likely to be suitable are serving or retired business people, civil servants, heads or senior members of staff at other schools, people with a legal background and retired members of the Police Force might be considered.